

TERMS OF REFERENCE

CONSULTANT TO UNDERTAKE A MINING ACTOR MAPPING IN KARAMOJA SUB-REGION.

1.0 Introduction

Resource Rights Africa is a research and advocacy organization formed in 2016 to advocate for the promotion and protection of people's rights in Uganda. The organization exists to champion social justice for all. We oppose discrimination, inequality, abuse of power and the unsustainable use of our natural resources including oil and gas, minerals, land, forests, water bodies as well as flora and fauna.

RRA is one of the most dynamic and robust regional leaders in cutting-edge public policy research and analysis in a range of areas including extractives, land, climate change, taxation and gender.

RRA's work is based on four (04) broad program areas: **Natural Resource Governance, Climate Change and Livelihoods, Tax Justice, Agriculture Budget Monitoring and Advocacy**. Our core business is to undertake advocacy-driven public policy research and analysis on contemporary and emerging public policy and governance issues that have a significant impact on national development.

Vision

A just and peaceful world free from human rights violations.

Mission

The mission of RRA is to advance social change for the protection and promotion of human rights where basic needs are met and resources are shared equitably; where people have control over their own lives and those in power act for the common good.

Values and Principles:

Justice: we believe that all human beings should be treated with dignity. We uphold the principle of impartiality in our operations and relations and we are opposed to all forms of injustice.

Transparency: we maintain openness in everything we do and ensure that stakeholders access information they need for purposes of promoting and protecting human rights.

Accountability: we hold ourselves accountable to the highest level ethical behavior and responsibility for our actions, while maintaining integrity in our performance.

Non-discrimination: We believe in the equality of all human beings and we streamline this in our programming.

2.0 About the project

With funding from the European Union, Austrian Development Agency and DKA Austria, RRA in partnership with HORIZONT3000 is implementing a project titled, ***“Community action to promote good governance of mineral resources in Karamoja sub-region”*** which seeks ***to promote good governance of mineral resources in Karamoja, Eastern Uganda***. The project aims to increase

coordinated civic engagement and improve transparency and accountability in the mining sector in Uganda.

The project is informed by existing knowledge gap on mining issues among community members in Karamoja sub-region especially among women and youth that RRA aims to fill. Community members face severe barriers accessing information notably the lack of effective participatory information dissemination channels. The action addresses women, youth and victims of mining operations among the following ethnic minorities: The Ik in Kaabong, Napore and Tepeth in Moroto, Kotido and Kabong Districts through awareness raising events, trainings, and empowering them to interact with duty bearers.

The project will build consensus on laws and policies that promote land and mineral governance by using dialogue and advocacy actions to influence legal and policy decisions at district and national levels on key transparency, accountability, equity and human rights issues that affect indigenous minority groups in Karamoja's mining sector.

The general objective of the project is ***to increase coordinated civic engagement and improve transparency and accountability in the mining sector in Uganda*** and the specific objective is ***“To empower citizens and local authorities to effectively monitor and participate in decision-making of mineral policies with a focus on Human Rights compliance in the districts of Kotido, Kabong and Moroto in Karamoja sub-region”***

In order to achieve its specific objective, the project will undertake to fulfill four key steps including;

- i. Raise awareness on human rights violations in the minerals sector in Karamoja through puppet theatre and media engagements,
- ii. Build competence of citizens for protection of rights and remedy for human rights violations through trainings,
- iii. Build capacities of local government officials, opinion leaders and district based CSOs on mining laws, policies and corporate behavior, and
- iv. Perform legal audits of laws and policies and identify gaps for advocacy with policy makers and media at regional and national level

The project has three result areas:

Result 1: 15,700 members of ethnic minority groups are informed and demand equitable, transparent and accountable mineral governance in Karamoja sub-region

Result 2: Local stakeholders (Grass root CSOs, Council of Elders, FBOs and Local Authorities) increase their capacity to engage Companies and Central Government officials on human rights violations emanating from mining activities.

Result 3: Increased accountability through performing legal audits of relevant laws and policies and by mapping of mining actors.

One of the fundamental activities under R2 of the project is to conduct 2 actor mappings aimed at identifying corporate stakeholders engaged in the different mineral value chains in Karamoja sub-region.

To the above end, RRA seeks to engage the services of a consultant to ***undertake the initial mining actor mapping for effective engagement and accountability in the governance of minerals in Karamoja sub-region.***

3.0 Goal /objective of the consultancy

RRA is seeking to engage a consultant/s or consultancy group to carry out an in-depth study of all stakeholders (INGOs, local NGOs, private sector, government departments and local research institutions) in Karamoja sub-region in the districts of Moroto, Kotido and Kaabong to find out who is doing what, how, and where in the mineral value chain. The study will detail all type of project activities, partnerships and precise geographical locations of intervention in the mining sector for each identified stakeholder.

3.1 Scope of the work

- i. Identify key civil society actors, government bodies, mining companies and private sector stakeholders that impact on mining in Karamoja, positively and negatively;
- ii. Clarify the stakeholders' interests/goals/objectives and categorize them based on their interest;
- iii. Document the different approaches used by the different stakeholders to benefit the project;
- iv. Develop a clear Stakeholder Map to guide RRA work in Karamoja's mining sector; and
- v. Explore the relationship between the different stakeholders and propose ways how RRA should engage for the benefit of the project.

The final report will give RRA

- i. A better understanding of existing interventions in the region in order to avoid overlaps or duplication and most importantly to identify
- ii. Guide RRA where it should focus its interventions, how to best implement project activities, in accordance with its strategic plan, and
- iii. The stakeholder mapping exercise is also expected to provide RRA information that can help create a platform for long term joint planning.

3.2 Responsibilities of the Consultant

- i. Mapping of key stakeholders with projects/operations related to mineral governance, their interests, location, nature or type of projects/operations and status of implementation
- ii. Review of development plans and initiatives influencing mining by the identified stakeholders including government institutions, private sector and other research institutions.
- iii. Analysis of the mandates and relationship between the different stakeholders in Karamoja's mining sector including (civil society, private actors and government agencies including government administration responsibility).
- iv. Assessment of key private sector stakeholders influencing the potential impact¹ or contribution to mining governance in the region.

Private sector stakeholder analysis should include:

- i. Identification of relevant companies and prioritization of companies operating or with license(s) in Moroto, Kotido and Kaabong districts.
- ii. Compile, assess, and synthesize key corporate information of each of the identified companies including:
 - o Information related to their license/mining lease
 - o Project location (to be presented in map if possible)
 - o Ownership structure
 - o Nature of minerals being exploited

¹ The assessment should include corporate social responsibility initiatives.

- Community relations
 - Safeguard policies
 - Commitment to sustainability
 - Sources of financing
 - Level of investment
 - Potential negative impact
- iii. Key recommendations to RRA on appropriate mechanisms for effective collaboration among various stakeholders towards a shared commitment to promote good governance of mineral resources in Karamoja sub-region.

4.0 Expected Outputs and deliverables

The Consultant will be expected to produce a comprehensive final report containing the following suggested outlines:

- i. Survey of initiatives in the mining sector in Karamoja sub Region – summary of the key stakeholders working in the region/landscape and key activities
- ii. Number of initiatives - summary of projects/programs being implemented in the region including major components and scope of these initiatives
- iii. Geographic spread of the various initiatives – listing and mapping of sub-counties with mining related projects.
- iv. Description and assessment of sources of support for the non-state actors i.e. multilateral donors, bilateral donors
- v. Description and analysis of the major stakeholders
- vi. Description of government and research institutional plans and initiatives and responsibilities at different government levels
- vii. Description and mapping of other projects by private sector including a compilation of relevant corporate information
- viii. Conclusions and recommendations

5.0 Institutional reporting arrangement

The Consultant will work under the overall supervision of the Executive Director and direct supervision of the Project Manager, RRA.

5.1 Contract duration

The work will be conducted between in the months of October 2020. The successful candidate is expected to commence as soon as the contractual documentation has been finalized.

5.2 Mode of payment

The selected Consultant will receive 40 % upon signing the contract, then 60 % after finalizing the whole work and submitting the final training report. This amount includes all expenses, taxes and working costs of the Consultant.

6.0 Required profile:

Knowledge/Expertise

- i. Knowledge and understanding of the civil society dynamics in Uganda, particularly in Karamoja sub-region;
- ii. Good understanding of the mining sector particularly in Karamoja sub-region;
- iii. Solid understanding of the dynamics, issues and trends of associated mining companies as well as issues of transparency and governance in the Karamoja sub-region;
- iv. Familiarity with relevant government policies and structures;

Education/Experiences

- i. A relevant Bachelor's degree and several years' relevant experience and/or training or equivalent combination of education and experience
- ii. Experience in a similar research capacity, and in synthesis and preparation of strategic information for decision-makers
- iii. Experience of working in multi-cultural environment

Skills and Abilities

- i. Excellent written, verbal, organizational, analytical and interpersonal skills. - Fluency in written and spoken English and if possible native language (Nga'Karimojong).
- ii. Skills in writing reports and reviews, delivering presentations and defending recommendations.
- iii. Facilitation skills and ability to conduct effective focused group discussion among different stakeholders
- iv. Demonstrable capacity to use both qualitative and quantitative research methodologies

7.0 Instructions for submission

Technical proposal

- i. A detailed technical proposal in accordance with the scope of the work, expected outputs and deliverables provided for herein
- ii. A comprehensive Curriculum vitae including three contactable professional references that you have worked for on similar consultancy assignments in the last 2 years.

Financial proposal

- i. Financial proposal that indicates an all-inclusive fixed total contract price, supported by a breakdown of costs i.e. daily consultancy fee, secretarial services etc.

8.0 Evaluation criteria

The prospective clients will be required to submit both the financial and technical proposal at the same time. The applicants will be evaluated as per the following criteria:

Compliance/responsiveness and acceptance to the bid notice and RRA procurement standards.

Technical proposal will be evaluated before financials and only financials of those that go through the technical stage will be evaluated. The scores for the technical proposal is 70% and financial proposal is 30%.

Area of score	Score
Knowledge and research expertise in the extractives sector	30%
Education / work experience	20%
Skills and abilities	20%

9.0 How to apply

All suitably qualified consultants and firms are encouraged to apply and submit their applications under the heading **“CONSULTANCY TO UNDERTAKE MINING ACTOR MAPPING”** to: winnifred.anyhait@resourcerightsafrica.org and copy in executive@resourcerightsafrica.org

Applications should be sent not later than **25th September 2020**. Only shortlisted consultants will be contacted. For further information please check the Resource Rights Africa website at: <http://www.resourcerightsafrica.org>

Relevant documents/attachments

- CV (not exceeding 4 pages), including 2 references.
- Cover letter expressing interest in the consultancy (1 page)
- Links or soft copies of reports from previous work accomplished
- Declaration of flexibility and availability for the project implementation period from the Month of October 2020.

Note;

Incomplete applications or applications received after the closing date will not be given consideration.